

## **EXPRESS MAIL CERTIFICATE (37 CFR 1.10)**

Express Mail Label No. EL598335781US

Date of Deposit September 21, 2001

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name: Marie G. Duden

Signature Marie M. Due

September 21, 2001

Commissioner for Patents BOX CPA Washington, D.C. 20231

RE:

Serial No. 09/473,872

Applicant: Yoon

Filed: December 28, 1999

For: Novel Gene Therapy Methods for the Treatment of Skin Disorders

Docket No. JEFF-YOO01 (840933-20038)

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

- 1. A Continued Prosecution Application Request Transmittal;
- 2. A Preliminary Amendment;
- 3. A Petition for A Three-Month Extension of Time pursuant to 37 C.F.R. § 1.136(a);
- 4. A check in the amount of \$355.00 to cover the CPA filing fee;
- 5. A check in the amount of \$220.00 to cover additional claims;
- 6. A check in the amount of \$445.00 to cover the Three-Month Extension of Time;
- 7. Information Disclosure Statement under 37 CFR § 1.97(b);
- 8. Form PTO-1449 (with copies of 13 Other Documents);
- 9. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents

2500 One Liberty Place 1650 Market Street Philadelphia, PA 19103 215.851.8100 Fax 215.851.1420 Delaware New Jersey New York Pennsylvania Virginia Washington, DC

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RECEIVED SEP 2 6 2001 TCH CENTER 1600/2900 Commissioner for Patents September 21, 2000 Page 2

The Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment to Deposit Account No. 18-0586.

Respectfully submitted,

William J. McNichol, Jr.(

Registration No. 31,179

1 09-2	-4-0 / Approved for the	PTO/SB/29 (10-00) Prough 10/31/2002. OMB 0651-0032			
Under the Paperwork Reduction Act of 1995, and are required to respond to		S. DEPARTMENT OF COMMERCE Lisplays a valid OMB control number.			
CONTINUED PROSECUTION REQUEST TRAN		•			
SEP 2 1 2001 (Only for Continuation or Divisional application	te for fee processing.	CHECK BOX, if applicable: DUPLICATE			
	Attorney Docket No.	JEFF-Y0001			
Address to:  Assistant Commissioner for Patents	of Prior Application  First Named Inventor	Yoon C SH			
Box CPA Washington, DC 20231	Examiner Name	Brunovskis, Brunovskis,			
	Group Art Unit	1632			
	Express Mail Label No.	EL598335781			
This is a request for a 🔲 continuation or 🔲 divisi	ional application under	37 CFR 1.53(d),			
(continued prosecution application (CPA)) of prior application	on number <u>09 / 4</u>	73,872			
filed on 12/28/99, entitled Novel Gene Ther	apy Methods for	the Treatment of			
NOTES					
FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).					
C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).					
EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.					
ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.					
35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).					
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
1. Enter the unentered amendment previously filed or under 37 GFR 1.116 in the prior nonprovisional app 2. A preliminary amendment is enclosed.					
3. This application is filed by fewer than all the inventors na	amed in the prior applic	ation, 37 CFR 1.53(d)(4).			
a. DELETE the following inventor(s) named in the p					
b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.					
4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.  99/25/2001 GTEFFERA 00000139 09473872					
5. Information Disclosure Statement (IDS) is enclosed:					
a. X PTO-1449 b. X Copies of IDS Citations	02 FC:203 03 FC:202	180.00 OP 40.00 OP			

[Page 1 of 2]
Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

					<del></del>	
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	40 -20* =	20	x \$ <u>18</u> =	\$ 360.00	
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	4 -3** =	1	x \$ <u>80</u> =	80.00	
	MULTIPLE DEPENDENT C	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) + \$ =			-	
		BASIC FEE (37 CFR 1.16)				
	Total of above Calculations = \$1,1!				\$1,150.00	
	Reduction by 50% for filing to	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess o ** Reissue independent claim		ntent.	TOTAL =	\$575.00	
<ul> <li>6.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27.</li> <li>7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No</li></ul>						
13. Other:						
NOTE:	The prior application' UNLESS a new corres	s correspondence spondence addres	address will carry ove s is provided below.	er to this CPA		
		14. NEW CORRES	HOW PHINE ADDRESS			
Customer Number or Bar Code Label  07066  (Insert Customer No. Tos Attack bas cade label here)						
Name						
Address	ess —					
City		State		Zip Code		
Country		Telephone		Fax		
15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED  Name (Print   Type)  Signature						
Registration No. (Attorney/Agent)						
	Date September 21, 2001					

.S. Patent and Trademark O	s through 10/31/2002. OME	COMMERCE			
SMITTAL te for fee processing.	CHECK BOX, # 8	• • • • •			
Attorney Docket No. of Prior Application	JEFF-YOO01	ECH (			
First Named Inventor	Yoon	E			
Examiner Name	Brunovskis. P	<u> </u>			
Group Art Unit	1632				
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<u>NOTES</u>					
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	APPLICATION NAPPLICATION NAPPLICATION NAPPLICATION NAPPLICATION NAMITTAL te for fee processing. ons under 37 CFR 1.53(d))  Attorney Docket No. of Prior Application First Named Inventor Examiner Name Group Art Unit Express Mail Label No.  ional application under on number09/ 4 rapy Methods for  st be a nonprovisional applicational application in compliant if the prior nonprovisional of the filing date of the prior	ARPLICATION (CPA)  NSMITTAL  Ite for fee processing. Ons under 37 CFR 1.53(d))  Attorney Docket No. of Prior Application  First Named Inventor  Examiner Name  Group Art Unit  Express Mail Label No.  EL598335781US  Tonal application under 37 CFR 1.53(d), On number09/_473_,872  Tapy Methods for the Treatment  Set be a nonprovisional application was filed being the filing date of the prior application. See "Recomposition of the Section of the Sectio			

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3. 1	This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).  a. DELETE the following inventor(s) named in the prior nonprovisional application:
_	b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4.	A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
٠.	Information Disclosure Statement (IDS) is enclosed:
	a. 🔀 PTO-1449
	h X Copies of IDS Citations

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